

 <div style="text-align: center;"> <b>Financial Assistance Award</b>  <b>DENALI COMMISSION</b>  <b>510 L Street, Suite 410</b>  <b>Anchorage, Alaska 99501</b>  <b>(907) 271-1414 (phone)</b>  <b>(907) 271-1415 (fax)</b>  <b>www.denali.gov</b> </div>		<b>Award Number</b>	01458-00																																																																													
		<b>Award Title</b>	Fairbanks Non-Profit Energy Efficiency Retrofit Pilot																																																																													
		<b>Performance Period</b>	January 1, 2014 through June 30, 2017																																																																													
<b>Authority</b> 112 Stat 1854		<b>CFDA Number</b> 90.100	<b>Recipient Organization &amp; Address</b> Cold Climate Housing Research Center PO BOX 82489 Fairbanks, AK 99708-2489  <b>Phone:</b> (907) 457-3454 <b>Recipient DUNS #</b> 608773003 <b>TIN #</b> 920169929																																																																													
<b>Denali Commission Finance Officer Certification</b>																																																																																
<b>Cost Share Distribution Table</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Accounting Code</th> <th colspan="2">New Funding</th> <th colspan="2">Prior Period Funding</th> <th rowspan="2">Total</th> </tr> <tr> <th>Denali Commission</th> <th>Other Contributors</th> <th>Denali Commission</th> <th>Other Contributors</th> </tr> </thead> <tbody> <tr> <td>95670000</td> <td>\$250,000.00</td> <td></td> <td>\$0.00</td> <td></td> <td>\$250,000.00</td> </tr> <tr><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td></td><td>\$0.00</td></tr> <tr><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td></td><td>\$0.00</td></tr> <tr><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td></td><td>\$0.00</td></tr> <tr><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td></td><td>\$0.00</td></tr> <tr><td></td><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td>\$0.00</td></tr> <tr><td></td><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td>\$0.00</td></tr> <tr><td></td><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td>\$0.00</td></tr> <tr><td></td><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td>\$0.00</td></tr> <tr><td></td><td></td><td>\$0.00</td><td></td><td>\$0.00</td><td>\$0.00</td></tr> <tr> <td><b>Total</b></td> <td><b>\$250,000.00</b></td> <td><b>\$0.00</b></td> <td><b>\$0.00</b></td> <td><b>\$0.00</b></td> <td><b>\$250,000.00</b></td> </tr> </tbody> </table>					Accounting Code	New Funding		Prior Period Funding		Total	Denali Commission	Other Contributors	Denali Commission	Other Contributors	95670000	\$250,000.00		\$0.00		\$250,000.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00			\$0.00		\$0.00	\$0.00			\$0.00		\$0.00	\$0.00			\$0.00		\$0.00	\$0.00			\$0.00		\$0.00	\$0.00			\$0.00		\$0.00	\$0.00	<b>Total</b>	<b>\$250,000.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$250,000.00</b>
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<b>Signature of Authorized Official - Denali Commission</b>  Electronically Signed		<b>Typed Name and Title</b> Mr. Joel Neimeyer Federal Co-Chair		<b>Date</b>  01/02/2014																																																																												

# AWARD ATTACHMENTS

Cold Climate Housing Research Center

01458-00

1. Award Conditions

***Award Conditions to the Financial Assistance Award  
Between the Denali Commission and the Cold Climate Housing Research Center  
For the Fairbanks Non-Profit Energy Efficiency Retrofit Pilot  
Award No. 1458  
December 2013***

***1. Scope of Work***

The Denali Commission (Commission) is providing \$250,000 for the Fairbanks Non-Profit Energy Efficiency Retrofit Pilot initiative. The Commission's funding will be utilized for one year of program costs to manage and implement the initiative and for commercial scale energy audits for a minimum of 10 non-profit organizations (non-profits). The initiative is a three year program and Cold Climate Housing Research Center (CCHRC) will seek program funding from other sources for the second and third year of the initiative. In addition, Rasmuson Foundation has committed up to \$2 million in loans for the non-profits to complete the construction of the energy efficiency improvements. CCHRC through a separate agreement with the non-profits and Rasmuson Foundation will provide project management support for the construction improvements.

**Background:**

Several programs exist to improve the energy efficiency of buildings in the residential, commercial, and public sectors however none specifically target the non-profit sector. A hurdle for non-profits is navigating the processes and resources needed to reduce energy costs, such as energy auditing, financing, and construction. Non-profits may be eligible for a few existing energy programs, though none offer comprehensive assistance through the process. This pilot initiative seeks to develop a clear path for non-profits to reduce energy costs and focus on their mission.

**Goals:**

The goals for the initiative are:

1. To demonstrate bundling of contracts and efforts for audits, financing, construction, recommissioning, and monitoring.
2. To demonstrate whether energy audits are a good predictor of cost savings. To date, there is limited documentation on commercial scale energy audit results.
3. Test the theories and models in Fairbanks, Alaska where a successful outcome is expected and the margin for error is forgiving since the heat demand is so great. The opportunity for achieving cost savings is more likely than in more moderate temperate zones.
4. If successful in Fairbanks, identify lessons learned which could be deployed to rural Alaska communities.

**Outcomes:**

1. Perform commercial scale energy audits for a minimum of 10 non-profits.
2. Provide energy efficiency retrofits for the 10 non-profits.
3. Document energy cost reductions.

4. Provide an annual outcomes report that demonstrates the costs of retrofitting, energy saved, and incorporates feedback from non-profits on how savings impacted their functionality.

Key participants in the project include: Rasmuson Foundation; Rural Community Assistance Corporation; Foraker Group; Alaska Housing Finance Corporation; the 10 Fairbanks non-profit organizations; and Cold Climate Housing Research Center.

All Commission funding is intended for use for the scope of work identified in the Award document only. Any balance of funds remaining after the full scope of work has been completed will be returned to the Commission. Any travel under this Award must follow the Federal Travel Regulation (FTR) in order to be eligible Commission costs. All activities that involve the work of consultants or contractors must be procured through fair and open procurement processes, in keeping with the Federal Circulars, in order to be eligible Commission costs.

## ***2. Milestones***

The following milestones are identified as the major steps to be completed as part of the project. "Planned" dates for the first milestone are included here. As part of each progress report, recipients shall update the progress toward meeting these milestones (see section 7, Reporting).

Milestone	Planned		Actual		Units	Total Cost At Completion
	Start Date	End Date	Start Date	End Date		
In Progress	01/01/2014	06/30/2017			0	\$0.00
Project Close-out	07/01/2017	09/30/2017			0	\$0.00

## ***3. Award Performance Period***

The Award performance period is January 1, 2014 through June 30, 2017. This is the period during which Award recipients can incur obligations or costs against this Award.

## ***4. Direct and Indirect Costs***

The cost principles of OMB A-122 are applicable to this Award. Indirect costs up to 28% are allowable under this Award (see budget for additional information). Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

## ***5. Budget and Program Revisions***

The Administrative Circular, 2 CFR Part 215 applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that the CCHRC will inform the Commission in writing

(e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

## **6. Payments**

Payments under this Award will be made by electronic transfer in response to a "Request for Advance or Reimbursement", Standard Form 270 (SF-270) submitted by the CCHRC. Requests for reimbursements may be made as needed. The SF-270 must be submitted to the Commission via fax, e-mail ([finance@denali.gov](mailto:finance@denali.gov)) or mail in order for payment to be processed. The form is available on the OMB website:

[http://www.whitehouse.gov/OMB/grants/grants\\_forms.html](http://www.whitehouse.gov/OMB/grants/grants_forms.html). Payments shall be made in accordance with 2 CFR Part 215. Please contact the Commission's Finance Specialist at (907) 271-1414 for further information about submitting this form. **No interest will be accrued on these funds.**

## **7. Reporting**

Two forms of project reporting are required under this Award, listed below. The CCHRC shall submit reports using the Commission's on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). If there are technical limitations which may prevent the recipient from meeting this requirement, please contact the Program Manager listed in this agreement.

- a. **Progress Reports** shall be submitted on a quarterly basis. The first reporting period is January 1, 2014 to March 30, 2014, and quarterly thereafter. Reports are due within 30 days of the end of the reporting period. Progress reports shall include the following:
  - i. Total project funding, including both Commission funding and other project funding sources.
  - ii. The total project expenditures for the project as of the end of the reporting period, including both Commission and Other funding sources.
  - iii. Updated schedule and milestone information as identified in the Scope of Work.
  - iv. Narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.
  - v. For minor repair and renovation projects or other non-construction projects, pictures should be provided of before and after, or photos that are representative of the funded activity, to the extent possible. Photos shall be provided in a digital format as part of the on-line report. A short description of the activity and names of those in the photos shall also be provided.

- b. **Federal Single Audits** shall be submitted annually, when required. In accordance with OMB Circular A-133, which requires [subpart 200] “Non-Federal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part [subpart 205]. The determination of when an award is expended should be based on when the activity related to the award occurs.”

Recipients shall also submit single audits to the Federal Clearinghouse as designated by OMB. Information can be found on the following web-site:

<http://harvester.census.gov/sac/>

### **8. *Project/Award Close-Out***

The project close-out report shall be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. Recipients must also draw down any remaining funds for expenditures incurred under this award during this 90-day period.

The project close-out report shall be submitted on-line through the Commission’s on-line Project Database System, available at [www.denali.gov](http://www.denali.gov). The project close out will require the recipient to submit the following information:

- a. Final data for each item listed in paragraph 7(a) “Progress Reports”
- b. Final project expenditures itemized by the following categories: planning & design; materials & equipment; freight; labor; project administration/overhead and other expenses.
- c. Acknowledgement of support: For all non-construction projects, the Award recipient shall include an acknowledgement of the Government’s support for the project(s) developed under this Award. The format for acknowledgement of the Government’s support for non-construction awards will vary with each award and must be agreed upon between the Award recipient and the Commission Project Manager. Costs associated with this requirement shall be paid out of the project funding received by the Award recipient from the Commission.

### **9. *Public Policy Laws and Assurances***

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Commission funds.

***10. Non-Compliance with Award Conditions***

Recipients not in compliance with the terms and conditions of this Financial Assistance Award will be notified by the Commission. The Commission will work with the recipient to identify the steps necessary to bring them back into compliance, and will establish an appropriate time frame for the corrections to be made. If the corrections have not been made by the deadline, the Commission reserves the right to either suspend or unilaterally terminate the Financial Assistance Award for non-performance.

***11. Program Manager, Financial Manager & Other Contact Information***

<b>Denali Commission</b>	<b>CCHRC</b>
Jodi Fondy Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3011 Fax: 907-271-1415 E-mail: <a href="mailto:jfondy@denali.gov">jfondy@denali.gov</a>	Ryan Colgan Chief Projects Officer 1000 Fairbanks Street P.O. Box 82489 Fairbanks, AK 99708 Phone: 907-457-3454 Fax: 907-457-3456 Email: <a href="mailto:ryan@cchrc.org">ryan@cchrc.org</a>
Monica Armstrong Grants Administrator 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3415 Fax: 907-271-1415 E-mail: <a href="mailto:marmstrong@denali.gov">marmstrong@denali.gov</a>	Marie Duncan Financial Administrator 1000 Fairbanks Street P.O. Box 82489 Anchorage, AK 99708 Phone: 907-457-3454 Fax: 907-457-3456 Email: <a href="mailto:marie@cchrc.org">marie@cchrc.org</a>